

## Section 1 – Annual Governance Statement 2020/21

We acknowledge as the members of:

Holme Valley Parish Council

our responsibility for ensuring that there is a sound system of internal control, including arrangements for the preparation of the Accounting Statements. We confirm, to the best of our knowledge and belief, with respect to the Accounting Statements for the year ended 31 March 2021, that:

	Agreed		'Yes' means that this authority:
	Yes	No*	
1. We have put in place arrangements for effective financial management during the year, and for the preparation of the accounting statements.	✓		prepared its accounting statements in accordance with the Accounts and Audit Regulations.
2. We maintained an adequate system of internal control including measures designed to prevent and detect fraud and corruption and reviewed its effectiveness.	✓		made proper arrangements and accepted responsibility for safeguarding the public money and resources in its charge.
3. We took all reasonable steps to assure ourselves that there are no matters of actual or potential non-compliance with laws, regulations and Proper Practices that could have a significant financial effect on the ability of this authority to conduct its business or manage its finances.	✓		has only done what it has the legal power to do and has complied with Proper Practices in doing so.
4. We provided proper opportunity during the year for the exercise of electors' rights in accordance with the requirements of the Accounts and Audit Regulations.		✓	during the year gave all persons interested the opportunity to inspect and ask questions about this authority's accounts.
5. We carried out an assessment of the risks facing this authority and took appropriate steps to manage those risks, including the introduction of internal controls and/or external insurance cover where required.	✓		considered and documented the financial and other risks it faces and dealt with them properly.
6. We maintained throughout the year an adequate and effective system of internal audit of the accounting records and control systems.	✓		arranged for a competent person, independent of the financial controls and procedures, to give an objective view on whether internal controls meet the needs of this smaller authority.
7. We took appropriate action on all matters raised in reports from internal and external audit.	✓		responded to matters brought to its attention by internal and external audit.
8. We considered whether any litigation, liabilities or commitments, events or transactions, occurring either during or after the year-end, have a financial impact on this authority and, where appropriate, have included them in the accounting statements.	✓		disclosed everything it should have about its business activity during the year including events taking place after the year end if relevant.
9. (For local councils only) Trust funds including charitable. In our capacity as the sole managing trustee we discharged our accountability responsibilities for the fund(s)/assets, including financial reporting and, if required, independent examination or audit.	Yes	No	N/A has met all of its responsibilities where, as a body corporate, it is a sole managing trustee of a local trust or trusts.
	✓		

\*Please provide explanations to the external auditor on a separate sheet for each 'No' response and describe how the authority will address the weaknesses identified. These sheets must be published with the Annual Governance Statement.

This Annual Governance Statement was approved at a meeting of the authority on:

21/06/2021

and recorded as minute reference:

2122/07

Signed by the Chairman and Clerk of the meeting where approval was given:

Chairman

*R Magley*

Clerk

*E Bennett*

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## Explanations to external auditor for each “No” on the Annual Governance Statement:

4. We provided proper opportunity during the year for the exercise of electors’ rights in accordance with the requirements of the Accounts and Audit Regulations during the year gave all persons interested the opportunity to inspect and ask questions about this authority’s accounts.

This mirrors the issue identified by the internal auditor with regard to posting the unsigned Annual Governance Statement and Accounting Statements for 2019/20.

The Parish Council approved Section 1, Annual Governance Statement, and Section 2, Accounting Statements at the Council meeting 20<sup>th</sup> July 2020. These documents were published on 27<sup>th</sup> July 2020. The correct documentation was posted to the Parish Council noticeboard, signed and dated by the Chairman, Clerk and RFO with reference to the relevant Council meeting and agenda item.

### ISSUE:

Unfortunately, when the Responsible Finance Officer uploaded the documentation to the website on 27<sup>th</sup> July 2020, whilst he uploaded documentation with the correct text and figures, he did not upload the scanned papers that had been signed and dated by the Chairman, Clerk and RFO at the 20<sup>th</sup> July meeting. This did not meet the requirements of the audit.

### ACTION:

The above issues were resolved by:

- The signed and dated copies of Sections 1 and 2 of the AGAR were uploaded as part of the external auditor’s report 24<sup>th</sup> November 2020.
- The RFO retrospectively added Sections 1 and 2 of the AGAR posted as they should have been from the outset as documentation of the unaudited AGAR.
- Moving forward to the Annual Governance and Accountability Return 2020/21, the RFO is fully aware now that the scanned, signed and dated documents need to be uploaded to the website as part of the publication of the Return. This has been done.



## Section 2 – Accounting Statements 2020/21 for

Holme Valley Parish Council

	Year ending		Notes and guidance
	31 March 2020 £	31 March 2021 £	
1. Balances brought forward	224,724	269,150	Total balances and reserves at the beginning of the year as recorded in the financial records. Value must agree to Box 7 of previous year.
2. (+) Precept or Rates and Levies	274,970	275,871	Total amount of precept (or for IDBs rates and levies) received or receivable in the year. Exclude any grants received.
3. (+) Total other receipts	6,887	8,123	Total income or receipts as recorded in the cashbook less the precept or rates/levies received (line 2). Include any grants received.
4. (-) Staff costs	37,122	58,700	Total expenditure or payments made to and on behalf of all employees. Include gross salaries and wages, employers NI contributions, employers pension contributions, gratuities and severance payments.
5. (-) Loan interest/capital repayments	0	0	Total expenditure or payments of capital and interest made during the year on the authority's borrowings (if any).
6. (-) All other payments	200,308	147,343	Total expenditure or payments as recorded in the cashbook less staff costs (line 4) and loan interest/capital repayments (line 5).
7. (=) Balances carried forward	269,150	347,101	Total balances and reserves at the end of the year. Must equal (1+2+3) - (4+5+6).
8. Total value of cash and short term investments	266,670	337,368	The sum of all current and deposit bank accounts, cash holdings and short term investments held as at 31 March – <b>To agree with bank reconciliation.</b>
9. Total fixed assets plus long term investments and assets	5,266,353	5,271,894	The value of all the property the authority owns – it is made up of all its fixed assets and long term investments as at 31 March.
10. Total borrowings	0	0	The outstanding capital balance as at 31 March of all loans from third parties (including PWLB).
11. (For Local Councils Only) Disclosure note re Trust funds (including charitable)	Yes	No	The Council, as a body corporate, acts as sole trustee for and is responsible for managing Trust funds or assets.  N.B. The figures in the accounting statements above do not include any Trust transactions.
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	

I certify that for the year ended 31 March 2021 the Accounting Statements in this Annual Governance and Accountability Return have been prepared on either a receipts and payments or income and expenditure basis following the guidance in Governance and Accountability for Smaller Authorities – a Practitioners' Guide to Proper Practices and present fairly the financial position of this authority.

Signed by Responsible Financial Officer before being presented to the authority for approval

*R. M. Wall*  
SIGNATURE REQUIRED

Date

08/06/21

I confirm that these Accounting Statements were approved by this authority on this date:

21st / 06 / 2021

as recorded in minute reference:

2122 07 REFERENCE

Signed by Chairman of the meeting where the Accounting Statements were approved

*P. Magley*  
SIGNATURE REQUIRED